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Compliance Monthly

The CAA Compliance staff is dedicated to providing its member institutions with comprehensive Rules Compliance Services and Support. We hope that you find the CAA monthly newsletters to be useful and we welcome your feedback and ideas for new content.



2013 National Letter of Intent Regular Signing Periods for Basketball & All Other Sports

Men's and Women's Basketball - Regular Signing Period

Initial Date for Basketball Regular Signing Period: **Wednesday, April 17, 2013**

Final Day of Basketball Signing Period: **Wednesday, May 15, 2013**

Dead Period begins at 12:01 a.m. on Monday, April 15 and continues until 11:59 p.m. on Thursday, April 18.

All Other Sports **EXCEPT** Basketball, Football, Field Hockey, Soccer, and Track & Field/Cross Country

Initial Date for Regular Signing Period: **Wednesday April 17, 2013**

Final Day of Regular Signing Period: **Thursday, August 1, 2013**

Dead Period begins at 12:01 a.m. on Monday, April 15 and continues until 11:59 p.m. on Thursday, April 18.

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Staff Interpretation - March 25, 2013

Recruiting Conversations at Camps and Clinics (I)

The academic and membership affairs staff confirmed that a camp or clinic is considered an athletics competition or athletics event. Therefore, a host institution's coaching staff member may not engage in recruiting activities other than recruiting conversations with prospective student-athletes participating in the institution's camp or clinic until after the completion of the camp or clinic. Any recruiting activities that occur after completion of the camp or clinic are subject to applicable recruiting calendar restrictions.

[References: NCAA Bylaws 13.1.6.2 (practice or competition site); 13.12.1.5 (recruiting calendar exceptions); and (10/02/92) staff interpretation which has been archived]

Staff Interpretation - March 25, 2013

Medical Examinations Conducted by a Professional Athletics Team (I)

The academic and membership affairs staff confirmed that medical examinations (e.g., psychological examinations, eye examinations, hearing examinations) conducted by a professional athletics team constitute a tryout designed, in part, to measure the athletics ability of a student-athlete. The staff noted that a member institution is permitted to conduct these examinations in accordance with permissible medical expenses and may provide the results to a professional sports organization at its discretion.

[References: NCAA Bylaws 12.2.1.3 (tryout after enrollment) and 16.4 (medical expenses); and a staff interpretation (02/28/91, Item No. a, which has been archived)]

Staff Interpretation - March 25, 2013

Part-Time Student Serving as Student Host (I)

The academic and membership affairs staff confirmed that a student must be enrolled in a minimum full-time program of studies at the institution at the time he or she participates as a student host unless the student meets an exception to or is granted a waiver of the full-time enrollment requirements for competition.

[References: NCAA Bylaws 13.6.7.5 (student host); 14.1.7.1.3 (exception - final semester/quarter); 14.1.7.2.1 (exceptions); 14.1.7.3 (waivers), and staff interpretation (12/20/89, Item No. f), which has been archived]

Staff Interpretation - March 15, 2013

Contact at a Prospective Student-Athlete's Educational Institution - Men's Basketball (I)

The academic and membership affairs staff determined that, in men's basketball, contact with a prospective student-athlete at the prospective student-athlete's high school, preparatory school or two-year college may not occur from the time the prospective student-athlete's educational institution's first class begins until the final class of the institution's academic day concludes.

[Reference: NCAA Division I Bylaw 13.1.6.1 (prospective student-athlete's educational institution)]

Staff Interpretation - March 15, 2013

Complimentary Admissions to an Away-From-Home Contest for an Athletic Department Staff Member's Relative who is a Prospective Student-Athlete (I)

The academic and membership affairs staff determined that an institution may provide complimentary admissions to an away-from-home contest to an athletic department staff member's relative who is a prospective student-athlete.

[References: NCAA Division I Bylaws 13.02.12 (prospective student-athlete); 13.2 (offers and inducements); 13.6.7.1 (general restrictions) and 13.7.2.1 (general restrictions), and a staff interpretation (9/14/90, Item No. a), which has been archived]

Staff Interpretation - March 15, 2013**Graduate Student Eligibility -- No Specific Degree Program Required (I)**

The academic and membership affairs staff determined that a student-athlete who has been admitted to the certifying institution and is enrolled as a regularly enrolled, degree-seeking graduate or professional school student is not required to be enrolled in a specific degree program to be eligible for competition.

[References: NCAA Division I Bylaws 14.1.6.1 (admission), 14.1.6.1.1 (special admission), 14.1.8 (graduate student/post-baccalaureate participation), 14.1.8.1 (one-time transfer exception), 14.5.2.2.10 (one-time transfer exception) and a staff interpretation (03/26/09, Item No. a), which has been archived]

Staff Interpretation - March 15, 2013**Pro Bono Legal Services Provided to Student-Athletes (I)**

The academic and membership affairs staff determined that it is permissible for a student-athlete to receive pro bono legal services from an outside agency, provided the agency provides such services based on objective criteria unrelated to athletics.

[References: NCAA Bylaws 12.1.2.1.6 (preferential treatment), 16.02.3 (extra benefit) and 16.11.2.2.1 (free or reduced-cost services), and a staff interpretation (06/27/90, Item No. a), which has been archived]

Staff Interpretation - March 15, 2013**Attendance of Prospective Student-Athletes at Informal Basketball Scrimmage (I)**

The academic and membership affairs staff determined that during an official or unofficial visit, a basketball prospective student-athlete and those accompanying him or her may attend the institution's informal basketball scrimmage in his or her sport.

[References: NCAA Division I Bylaws 13.6.7.1 general restrictions, 13.7.2.1 (general restrictions), 17.3.5.3-(h) (practice scrimmage) and a staff interpretation (11/8/06, Item No. 1), which has been archived]

Staff Interpretation - March 15, 2013**Institution Providing Recognition to High School Coach who is an Alumna or Alumnus (I)**

The academic and membership affairs staff determined that an institution may publicly recognize a special achievement (e.g., retirement, coaching accomplishment) of a high school coach who is an alumna or alumnus of the institution and may provide benefits incidental to recognition of that award (e.g., award, certificate, meal) to the recipient and his or her relatives.

[References: NCAA Division I Bylaws 13.2.1 (general regulations); 13.4.3.1 (recruiting advertisements); 13.8.1 (entertainment restrictions) and 13.8.2 (material benefits), and a staff interpretation (12/21/05, Item No. a), which has been archived]

Staff Interpretation - March 15, 2013**Providing Transportation for a Prospect-Aged Sibling During a Prospective Student-Athlete's Visit (I)**

The academic and membership affairs staff determined that an institution may permit a prospect-aged sibling of a prospective student-athlete to ride in an automobile driven by a coaching staff member for the purpose of providing ground transportation to a prospective student-athlete as part of an official or unofficial visit.

[References: NCAA Division I Bylaws 13.5.2.6 (transportation of prospective student-athlete's relatives, friends or legal guardians) and 13.5.3 (transportation on unofficial visit), official interpretations (12/12/12, Item No. a) and (1/10/13, Item No. 1), and a staff interpretation (5/23/11, Item No. a)]

Educational Column - March 26, 2013

Division I Scheduling Requirements for Individual Sports (I)

NCAA Division I institutions should note that in individual sports, other than men's swimming and diving, men's indoor and outdoor track and field and wrestling, that an institution uses to meet the Division I sports sponsorship criteria, an institution must schedule and play 100 percent of its contests against Division I opponents to meet the minimum number of contests specified in the sports sponsorship legislation. Additionally, an institution must schedule and play at least 50 percent of its contests beyond the minimum number of contests specified in the sports sponsorship legislation against Division I opponents.

In men's swimming and diving, men's indoor and outdoor track and field and wrestling, an institution must schedule and play at least 50 percent of its contests against Division I opponents to meet the scheduling requirement.

Finally, the scheduling requirements do not apply to those sports in which the only championship opportunity is a National Collegiate Championship.

The following information is intended to assist the membership with the application of the Division I scheduling requirements and, in particular, the multiteam tournament requirements in individual sports:

Question No. 1: What is the rationale behind the Division I scheduling requirements applicable to multiteam events in individual sports (i.e., the two-thirds standard)?

Answer: The two-thirds standard was established to further the principle that Division I institutions should schedule their competitions primarily against Division I institutions. The standard also provides an objective basis for determining whether a competition that involves student-athletes representing Division I and non-Division I institutions can be legitimately considered a competition against Division I opponents.

Question No. 2: Does the two-thirds standard apply to individual sports that are not subject to the 100 percent scheduling requirement (i.e., men's swimming and diving, men's indoor and outdoor track and field, and wrestling)?

Answer: Yes. In these sports, institutions are required to schedule and play at least 50 percent of the contests used to meet minimum sports sponsorship against Division I members. Therefore, at least two-thirds of the institutions competing in an event must be Division I members in order to count the event toward meeting the requirement that the institution play at least 50 percent of its contests against Division I opponents.

Question No. 3: Does the two-thirds requirement apply to all multiteam events in individual sports?

Answer: No. The requirement applies only to those events that are not scored by division. If the competition is scored by division, the two-thirds requirement is not applicable as the Division I institution is competing only against other Division I institutions.

Question No. 4: Is the two-thirds requirement based on the total number of participants in the event?

Answer: No. The requirement is based on the number of institutions that are competing in the event, not the number of participants. Therefore, determining whether an event satisfies the requirement is not impacted by the entry or withdrawal of noninstitutional participants (e.g., noncollegiate amateur or professional participants not representing an institution).

Question No. 5: For purposes of applying the two-thirds requirement, is there a minimum number of participants that an institution must enter into the multiteam tournament to count as a competing institution?

Answer: No. Every institution that is represented by at least one individual competing in the event is considered a competing institution. Therefore, the calculation of two-thirds of the institutions competing will not be impacted by a change to the number of individuals that represent an institution in the event.

Question No. 6: Can an institution determine whether two-thirds of the participating institutions are Division I members based only on the events in which the institution's team participates?

Answer: No. The scheduling requirement is determined on the basis of the entire competition (e.g., tournament, meet). Therefore, it is not permissible to consider only the events in which the institution is represented when evaluating whether two-thirds of competing institutions are Division I members. For example, an institution that is only represented in the distance events of a track and field meet still must consider all institutions participating in any portion of the meet, including sprints and field events, when determining whether the two-thirds requirement is met.

Question No. 7: If an institution has student-athletes participating at two different sites on the same day, and the total number of student-athletes participating meets the minimum number of participants for sports sponsorship, must both sites satisfy the two-thirds standard in order to count toward the scheduling requirement?

Answer: Yes. If an institution is combining the total number of participants from two sites on the same day to meet the minimum number of participants required for sports sponsorship, both sites must meet the two-thirds standard to count toward the scheduling requirement.

Question No. 8: Is it permissible for a Division I institution to participate in a multiteam event in an individual sport if less than two-thirds of the institutions competing in the event are Division I members?

Answer: Yes. The two-thirds standard is not a requirement for institutional participation. The standard applies to events that the institution is using to meet the minimum number of contests required for sports sponsorship. In the specified sports, if an institution competes in additional events beyond the minimum number of contests required for sports sponsorship, the institution is required to schedule and play 50 percent of its additional contests against Division I members. The remaining events are not subject to the two-thirds standard as these events are not required to be conducted against Division I members.

Question No. 9: If an event does not meet the standard that two-thirds of the competing institutions are Division I members, is it permissible for an institution to count participation in the event toward meeting the minimum number of contests required for sports sponsorship?

Answer: Yes. An institution may count such a contest for purposes of meeting the minimum contest requirements for sports sponsorship, provided the minimum number of participants represents the institution in the applicable sport. The two-thirds standard is relevant only for determining whether the scheduling requirements have been met. For example, in those sports in which an institution is required to schedule and play 50 percent of the minimum number of contests for sports sponsorship against Division I members (i.e., men's swimming and diving, men's indoor and outdoor track and field, wrestling), an event that does not meet the two-thirds requirement still may be used to satisfy sports sponsorship, provided that at least the minimum number of participants represents the institution.

Question No. 10: If an event operator confirms in advance that two-thirds of the institutions scheduled to compete in an event will be Division I members, and due to additional, non-Division I members being added to the event later, the event fails to meet the two-thirds standard, is it permissible to count the event for the purpose of meeting scheduling requirements?

Answer: No. If fewer than two-thirds of the institutions that compete in the event are Division I members, it is not permissible to count a multiteam event in an individual sport for the purpose of scheduling requirements.

Question No. 11: What is the consequence if an institution does not meet the scheduling requirement?

Answer: A Division I institution that fails to meet the scheduling requirements for a particular year will be placed in restricted membership status and, as a result, will be ineligible to participate in the NCAA championship in the applicable sport for the following academic year.

Question No. 12: Is there an avenue to request relief if an institution is unable to meet the scheduling requirement?

Answer: Yes. The NCAA Division I Administration Cabinet is authorized to waive the Division I membership requirements, including the scheduling requirement, where warranted due to circumstances beyond the institution's control.

[References: Division I Bylaws 20.2.5.1.3 (failure to meet division scheduling requirements), 20.9.4.3 (minimum contests and participants requirement for sports sponsorship), 20.9.5.1 (scheduling requirement), 20.9.5.1.1 (men's swimming and diving, men's indoor track and field, and wrestling), 20.9.5.1.3 (multiteam tournament -- individual sports), 20.9.5.1.4 (scheduling requirement -- exception) and 20.9.5.1.5 (waiver -- situations beyond institutional control)]

April Recruiting Calendars



Cross Country/ Track & Field						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Men's Basketball						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Women's Basketball						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

All live evaluations during the academic year shall be limited regularly scheduled high/prep school and two-year college contests/tournaments, practices and regular scholastic activities involving other student-athletes enrolled only at that institution.

*April 7-9: Evaluation period shall be considered for one women's basketball event certified by the NCAA held in conjunction with, and conducted in the host city of the NCAA Division I Women's Basketball Championship.
 **April 19-23: Evaluations permitted at nonscholastic women's basketball events.

Women's Volleyball						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Softball						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Baseball						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Men's Lacrosse						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Women's Lacrosse						
Su	M	T	W	Th	F	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

Note: This is a color coded visual of the recruiting calendars, please consider this when printing.



Quiet Period



Contact/Recruiting Period



Evaluation Period



Dead Period

Spring Championships Schedule



Colonial Athletic Association Spring Championships

<u>SPORT</u>	<u>FORMAT</u>	<u>DATES</u>	<u>SITE</u>
Men's & Women's Tennis	7 (men) 8 (women)	April 18 - 21, 2013	College of William & Mary
Women's Golf	9	April 19 - 21, 2013	St. James Plantation Southport, NC
Men's Golf	8	April 26 - 28, 2013	St. James Plantation Southport, NC
Women's Lacrosse	4	May 3 & 5, 2013	Highest Seed (Final Four Setting)
Men's Lacrosse	4	May 1 & 4, 2013	Highest Seeds (Top 2 seeds host)
Outdoor Track & Field	4 (men) 7 (women)	May 3 - 4, 2013	Towson University
Softball	4	May 8 - 10, 2013	Highest Seed (Final Four Setting)
Women's Rowing	6	May 19, 2013	Occoquan Reservoir Fairfax, VA
Baseball	6	May 22 - 25, 2013	James Madison University